

# **PARTICIPATORY SLUM UPGRADING PROGRAMME (PSUP) *PHASE II WORKSHOP***



## ***STRATEGY AND POLICY SETTING***



## **REPUBLIC OF KENYA**

***Premier Accra Airport Hotel, Accra Ghana,  
19<sup>th</sup> -24<sup>th</sup> September 2011***



# TEAM MEMBERS

<b>Name</b>	<b>Institution</b>	<b>Role(PSUP)</b>
• Mr. Enosh M. Onyango	Director UDD	National coordinator
• Mr. Isaac Mungania	MoLG/UDD	Assistant Coordinator
• Mr. Kenneth Nyaseda	MoLG/UDD	Planner
• Mr. Daniel S. Kutoyi	MoLG/UDD	Surveyor
• Mr. Kazungu C. Kenneth	County Council of Kilifi	Surveyor

Other team members include Surveyors & Planners from the UDD and other Line Ministries and Local Authorities staff

# PSUP PROGRAMMES

MAP OF KENYA SHOWING TOWNS UNDER  
THE MAPPING AND PLANNING PROGRAMME

MAP OF MTWAPA TOWN SHOWING THE INFORMAL SETTLEMENTS



## Legend

- Town
- County Council Boundary
- Administrative boundaries



1. Nationwide urban centres inventory and basic profiling – test case of Nakuru County and an in depth profiling of five (5) town
2. Citywide – Govt. initiative for the Digital Mapping and preparation of Integrated Strategic Urban Development Plans (33 Towns)
3. Slum upgrading project for Mtwapa town (11 slums)

# Status of the PSUP implementation (Nation wide)

1. Urban centres inventory and basic profiling for which a test case of Nakuru County has been completed. Achievements include:
  - Definition of an “urban centre”; working definition
  - Questionnaire for basic profiling
  - Mapping strategy of urban centres for the rest of the country for the inventory: use of GPS, digitized topo sheet, satellite imagery including google earth
  - Inform the new Urban and Cities Act
  - Feeds into ongoing National Urban Development Policy

# Status of the PSUP implementation (City wide)

Digital Mapping and Preparation of Integrated Strategic Urban Development Plans (ISUDP):

- 5 Towns completed funded by GOK
- 7 Towns currently in progress funded by GOK
- 9 Towns to be initiated procurement of consultants in progress: GOK funded
- 12 Towns to be initiated with funding from World Bank under KMP Programme
- ISUDP helped inform the new Urban and Cities Act

# Status of the PSUP implementation (Community level)

3. Pilot slum upgrading project proposal for eleven (11) slums in Mtwapa Town. Achievements include:

- Digital spatial data
- Planning, development and implementation strategies
- Resource mobilization

# Main stakeholders

- Line ministries (Ministry of Local Government, Ministry of Lands and Settlement, Ministry of Housing, Ministry of Planning)
- Government agencies including Kenya railways, Kenya Power & Lighting Company, Roads Authorities etc.
- Local Authorities (Town, Municipal and County Councils) and the new county governments created under the new constitution.
- Local Community (residents, Faith Based Organizations, other Community Based Organizations etc)
- Development Partners: PSUP, AFD, World Bank, Italian Cooperation, SIDA and many more



# Data findings on slum, city and national levels for the PSUP (National level)

Findings of the Urban centres inventory and basic profiling. Test case of Nakuru County:

- Most of the centre's main function was agricultural and trade
- Some of the centres lack official recognition as urban centres by the Local Authorities
- Most centres are informal in nature lacking plans
- Most centres are linear and tend to follow the main roads
- Many centres not in existing maps





# Data findings on slum, city and national levels for the PSUP (City-wide level)

## Digital Mapping and Integrated Strategic Urban Development Planning:

- Non adherence to Council regulations
- Capacity gaps at the Councils
- Non optimization of the councils revenue collection potential
- Lack of planning data and updated spatial data

# Data findings on slum, city and national levels for the PSUP (Community level)

Data findings on the situation of the slums in Mtwapa:

- Poor infrastructure
- Insecurity of tenure
- Poor sanitation
- Poverty & unemployment
- Poor waste management
- Small scale businesses
- Mud walled houses
- Residents' willingness to participate in improvement



# Challenges faced (National level)

- Outdated spatial data
- Capacity gaps at the Local Authorities
- Lack of official recognition of urban centres
- Definition of informal settlement UN HABITAT vis-à-vis the local context
- Funding/Resources

# Challenges faced(City-wide level)

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- Lack of updated data
- Capacity gaps
- Institutional disconnect
- Funding/Resources

# Challenges faced (Community level)

- Community expectations
- Poverty
- Political interference
- Funding
- The issue of tenure
- Poverty & unemployment
- Poor infrastructure

# How to overcome the challenges (National level)



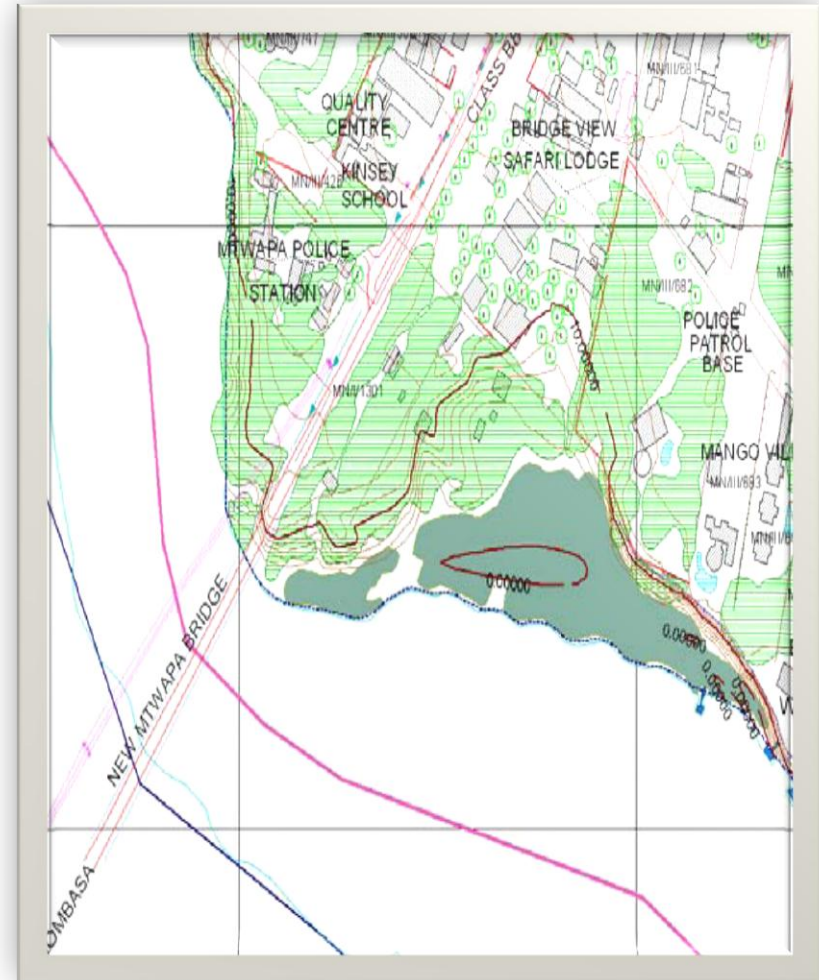
- Enhance the technical capacity of local authorities
- Need for official recognition of upcoming urban centres
- Adoption and application of new technology and more recent sources of spatial data to acquire and update existing data
- Resource mobilization to scale up the project: outputs and in-depth profiling
- Constitution transition period: devolution structures still under development

**Adoption of GIS in urban management**



# How to overcome the challenges (City-wide level)

- Building the technical capacity at the local authority level
- Harmonization of institutions
- Central harmonized data bank and regular updating of data
- Coverage/up-scaling: need for planning of more towns
- Constitution transition period





# How to overcome the challenges (Community level)

- Concerned government agencies and institutions to work on modalities to secure tenure for slum dwellers
- Improvement of the infrastructure
- Encouragement of small scale investments
- Develop effective waste management systems
- Resource mobilization to implement the development proposals



# The Team's expectations from this regional workshop

- Gain experience from other PSUP countries in:
  - Methodology
  - Improvement of ongoing initiatives
- Identify any gaps that might need filling
- Scale up activities in informal settlement upgrading
- Get advice on resource mobilization strategies for new projects

## Any message or question to other PSUP country teams?

- How can PSUP complement other ongoing initiatives?: Initiatives started by Governments and other agencies; that need scaling up/replicating
- Flexibility of the PSUP Profiling Methodology: For Ongoing/completed initiatives that used other methodologies, can the PSUP methodology be used to verify previous profiling?

**Thank you!**